

04-27-06

Express Mail Label No. EV 461 504 780 US

Date of Deposit: April 25, 2006

Attorney Docket No. 07473-038

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Lawrence M. Sherman

Serial No:

10/817,139

Filed:

April 2, 2004

For:

SYSTEMS AND METHODS OF TARGETING SAVINGS

Examiner:

Not assigned

Art Unit:

3624

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the present application are the following documents:

- (1) STATUS INQUIRY;
- (2) COPY OF UPDATED FILING RECEIPT;
- (3) COPY OF NOTICE OF PUBLICATION OF APPLICATION; AND
- (4) RETURN POSTCARD.

Should any of the items identified above be missing from this submission, the Office is respectfully requested to contact the undersigned at 617-348-4914.

The Commissioner is hereby authorized to charge any fee due to the undersigned's account, Deposit Account No. 50-0311, Ref. 07473-038. A duplicate copy of this transmittal letter is

enclosed.

/ / / LUVH.

Carol/M. Peters

Registration No. 45,010

MINTZ, LEVIN, COHN, FERRIS

GLOVSKY and POPEO, P.C.

fully submitted

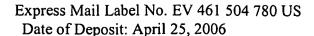
Attorneys for Applicant(s)
One Financial Center

Boston, MA 02111

Telephone: 617/348-4914 Facsimile: 617/542-2241

Date: April 25, 2006

email: cpeters@mintz.com





Attorney Docket No. 07473-038 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Lawrence M. Sherman

Serial No:

10/817,139

Filed:

April 2, 2004

For:

SYSTEMS AND METHODS OF TARGETING SAVINGS

Examiner:

Not assigned

Art Unit:

3624

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

STATUS INQUIRY

Sir:

Although the above application was filed on April 2, 2004 and assigned Serial No. 10/817,139, no Office Action has been received. Copies of the Updated Filing Receipt and Notice of Publication No. US-2005-0222951-A1 are attached. Please check the file and notify the undersigned as to the status of this application.

Respectfully submitted,

Carol H. Peters

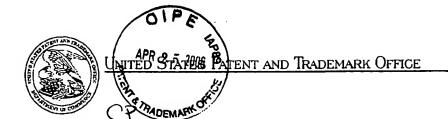
Registration No. 45,010

MINTZ, LEVIN, COHN, FERRIS GLOVSKY and POPEO, P.C. Attorneys for Applicant(s)

One Financial Center Boston, MA 02111

Telephone: 617/348-4914 Facsimile: 617/542-2241

Date: April 25, 2006 email: cpeters@mintz.com



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.C. Box 1450 Alexandria, Virgania 22313-1450 www.uspto.gov

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/817,139

04/02/2004

Lawrence M. Sherman

07473-038

CONFIRMATION NO. 1499

MINTZ, LEVIN, COHN, FERRIS GLOVSKY and POPEO, P.C. One Financial Center Boston, MA 02111

OC000000017189397

Title: Systems and methods of targeting savings

Publication No. US-2005-0222951-A1

Publication Date: 10/06/2005

Data Entry □ Docket Entry ☐ Docket Cross Off ☐ Previously Entered ☐ No Docketing Reg ☐ ELITE

□ Annuities

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 703-305-3028.

Pre-Grant Publication Division, 703-605-4283





UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. DOX 1450 Alexandria, Vinginia 22313-1450

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/817,139	04/02/2004	3624	1168	07473-038 √	9	- 95	4

CONFIRMATION NO. 1499

MINTZ, LEVIN, COHN, FERRIS GLOVSKY and POPEO, P.C. One Financial Center Boston, MA 02111

UPDATED FILING RECEIPT

Date Mailed: 09/01/2004

Data Entry Docket Entry Docket Cross Off

☐ ELITE Annuities

Previously Entered ☐ No Docketing Req.

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Lawrence M. Sherman, Westport, CT;

Power of Attorney: The patent practitioners associated with Customer Number 30623.

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 06/23/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is, US10/817,139

Projected Publication Date: 10/06/2005

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

RECEIVED

SEP 0 3 2004

MINTZ LEVIN, BOSTON PATENT DOCKET DEPT.

Title

Preliminary Class

705

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).